## Summary Assurance Map - March 2019

Summary Assurance Map - March 2019								
ŀ	Low Assurance							
4	Medium Assurance		Last Managed Last				1	
	High Assurance	Inherent Risk	Level 1 - Management Assurance			Level 2 - Independent Assurance Audit Nee		
1	No Assurance Information		SMAS	Risk Register	Existing Internal Audit Assurance	Wider Assurance		
4	Audits	In her ent Risk	Education Legal and Coversance People and Communities Resources Social Services Economic Development PTE PTE SMAS Summary	Corporate Bisk heather Education Legal and Governance People and Convernance Resources Social Services Social Services PTE Directorate Summary	Education Legal land Governance People and Communities Resources Social Services Economic Development PTE  Directorate Summary	Education Legal and Governance Reople and Communities Resources Social Services Social Services PTE Directorate Summary	Audit Needs Assessment	
F	Fundamental - Core System							
	Asset Management	R	R A R A R R R	R R A - A - R	A		R	
	Commissioning and Procurement	R	- G R - A A		A		R	
	Council Tax	R			G G		_	
E	Creditors		A B					
- 1		_			G G		Δ	
	Housing Rents				R A A A A A A A			
- 1	Income and Debtors	K	R · · · · R · · R					
. Ľ	Income Management	К	R R - R	. R . R A . A R			К	
ŀ	Housing Benefits / Local Housing Allowance	R			A A		R	
1	Main Accounting	R		A R R R	A A	A - A	R	
1	NNDR	R		A A	A A		R	
F	Payroll	R	A A A	- A A	A A		R	
F	Pensions and investments	R		A A	A R	A A	R	
9	Stores	R		A A	A - R R		R	
1	Treasury Management	R		A A	A - A	A A	R	
Ī	IT Governance / Security	R		A A A A	A A	A A	А	
F	Fundamental - Ad Hoc							
	Housing rent arrears	A			- A A		A	
	Mileage and subsistence	A			A G G G A A A A		A	
	Purchasing cards	A			A G G G A A R A		A	
							-	
	Agency Managed Service	А	· · · · · · · · · · · · · · · · · · ·		A A		А	
	Corporate Governance							
	Ethics and values	К	- G R A		A A A A A A A A A		A	
	Information governance	R	- G G	A A R R A		A - A	R	
	Performance management	R	R A G G A G A A	A A A A	A A	A	R	
	Risk management arrangements	R	A G A A A A A	- R - A A A A A	A A A A A A		R	
1	Delegated Decision Making	R			A		A	
	Programmes and projects	R	A A G A A A R A	A . R A A	A		A	
١	Value for Money	R	R R		A		R	
5	Scrutiny / Oversight Functions	A			- A A	- A A	A	
i F	Health and Safety	R	A A - A	G	A A A A A A A	- A A	R	
F	Future Generations	R	R		R	A	R	
9	Safeguarding	R	- R R		A A A A A A A	A A	R	
	Decision Making	A	- G A A	. R G A	A A A A A A A		A	
-	Governance	R	A G G G A A R A	R A G A A A	A G - A G A A A		R	
l,	Insurance	R					А	
H,							-	
	Partnerships and collaborative governance Other Assurance		V A A A A A A A		2 4 A A A A A A		-	
ľ	Business Continuity & Emergency Management	R			A A A A A A A A A		A	
- 1	Contract Variations	A					A	
	IT Progammes / Projects	R		A A A	A		R	
	Financial Resilience	R		R R . A A . R . R	A A	A A	А	
F	Payment Card Security - Data Security Standards (PCI -DSS)	R			A		A	
0	Contract Audit	R			A		R	
1	Taxation	R		R R	R		R	
1	National Fraud Initiative / Fraud	R	- A R R	G	R R R		R	
	SOP	R		- R R	A A		R	